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PATENT  
Atty. Dkt. No.: 7451.0004-02  
InterTrust Ref. No.: IT-6.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

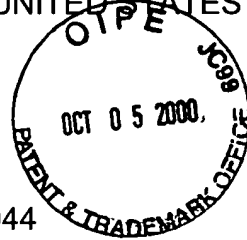
In re Application of:

Karl L. Ginter, et al.

Serial No.: 09/632,944

Filed: August 4, 2000

For: TRUSTED AND SECURE  
TECHNIQUES, SYSTEMS AND  
METHODS FOR ITEM  
DELIVERY AND EXECUTION



) Group Art Unit: Unassigned

) Examiner: Unassigned

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

With the exception of U.S. Patent No. 5,636,276 submitted herewith, copies of the listed documents were previously submitted in prior applications Serial Nos. 09/221,479, filed December 28, 1998, 08/699,711, filed August 12, 1996, and 08/388,107, filed February 13, 1995, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 4, 2000

By *Linda J. Thayer*  
Linda J. Thayer  
Reg. No. 45,681

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U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,573,747	04/71	Adams et al.			
	3,609,697	09/71	Blevins			
	3,796,830	03/74	Smith			
	3,798,359	03/74	Feistel			
	3,798,360	03/74	Feistel			
	3,798,605	03/74	Feistel			
	3,806,882	04/74	Clarke			
	3,829,833	08/74	Freeny			
	3,906,448	09/75	Henriques			
	3,911,397	10/75	Freeny			
	3,924,065	12/75	Freeny			
	3,931,504	01/76	Jacoby			
	3,946,220	03/76	Brobeck et al.			
	3,956,615	05/76	Anderson et al.			
	3,958,081	05/76	Ehrsam et al.			
	3,970,992	07/76	Boothroyd et al.			
	4,048,619	09/77	Forman et al.			
	4,071,911	01/78	Mazur			
	4,112,421	09/78	Freeny			

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Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,120,030	10/78	Johnstone			
	4,163,280	07/79	Mori et al.			
	4,168,396	09/79	Best			
	4,196,310	04/80	Forman et al.			
	4,200,913	04/80	Kuhar et al.			
	4,209,787	06/80	Freeny			
	4,217,588	08/80	Freeny			
	4,220,991	09/80	Hamano et al.			
	4,232,193	11/80	Gerard			
	4,232,317	11/80	Freeny			
	4,236,217	11/80	Kennedy			
	4,253,157	02/81	Kirschner et al.			
	4,262,329	04/81	Bright et al.			
	4,265,371	05/81	Desai et al.			
	4,270,182	05/81	Asija			
	4,278,837	07/81	Best			
	4,305,131	12/81	Best			
	4,306,289	12/81	Lumley			
	4,309,569	01/82	Merkle			
	4,319,079	03/82	Best			

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	4,323,921	04/82	Guillou			
	4,328,544	05/82	Baldwin et al.			
	4,337,483	06/82	Guillou			
	4,361,877	11/82	Dyer et al.			
	4,375,579	03/83	Davida et al.			
	4,433,207	02/84	Best			
	4,434,464	02/84	Suzuki et al.			
	4,442,486	04/84	Mayer			
	4,446,519	05/84	Thomas			
	4,454,594	06/84	Heffron et al.			
	4,458,315	07/84	Uchenick			
	4,462,076	07/84	Smith			
	4,462,078	07/84	Ross			
	4,465,901	08/84	Best			
	4,471,163	09/84	Donald et al.			
	4,484,217	11/84	Block et al.			
	4,494,156	01/85	Kadison et al.			
	4,513,174	04/85	Herman			
	4,528,588	07/85	Lofberg			
	4,528,643	07/85	Freeny			

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	4,553,252	11/85	Egendorf			
	4,558,176	12/85	Arnold et al.			
	4,558,413	12/85	Schmidt et al.			
	4,562,306	12/85	Chou et al.			
	4,562,495	12/85	Bond et al.			
	4,577,289	03/86	Comerford et al.			
	4,584,641	04/86	Guglielmino			
	4,588,991	05/86	Atalla			
	4,589,064	05/86	Chiba et al.			
	4,593,353	06/86	Pickholtz			
	4,593,376	06/86	Volk			
	4,595,950	06/86	Lofberg			
	4,597,058	06/86	Izumi et al.			
	4,634,807	01/87	Chorley et al.			
	4,644,493	02/87	Chandra et al.			
	4,646,234	02/87	Tolman et al.			
	4,652,990	03/87	Pailen et al.			
	4,658,093	04/87	Hellman			
	4,670,857	06/87	Rackman			
	4,672,572	06/87	Alsberg			

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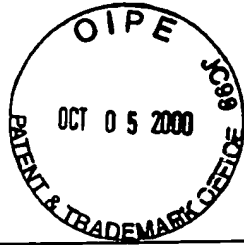
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	4,677,434	06/87	Fascenda			
	4,680,731	07/87	Izumi et al.			
	4,683,553	07/87	Mollier			
	4,685,056	08/87	Barnsdale et al.			
	4,688,169	08/87	Joshi			
	4,691,350	09/87	Kleijne et al.			
	4,696,034	09/87	Wiedemer			
	4,701,846	10/87	Ikeda et al.			
	4,712,238	12/87	Gilhousen et al.			
	4,713,753	12/87	Boebert et al.			
	4,740,890	04/88	William			
	4,747,139	05/88	Taaffe			
	4,757,533	07/88	Allen et al.			
	4,757,534	07/88	Matyas et al.			
	4,768,087	08/88	Taub et al.			
	4,791,565	12/88	Dunham et al.			
	4,796,181	01/89	Wiedemer			
	4,799,156	01/89	Shavit			
	4,807,288	02/89	Ugon et al.			
	4,817,140	03/89	Chandra et al.			

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	4,823,264	04/89	Deming			
	4,827,508	05/89	Shear			
	4,858,121	08/89	Barber et al.			
	4,864,494	09/89	Kobus			
	4,868,877	09/89	Fischer			
	4,903,296	02/90	Chandra et al.			
	4,924,378	05/90	Hershey et al.			
	4,930,073	05/90	Cina			
	4,949,187	08/90	Cohen			
	4,977,594	12/90	Shear			
	4,999,806	03/91	Chernow et al.			
	5,001,752	03/91	Fischer			
	5,005,122	04/91	Griffin et al.			
	5,005,200	04/91	Fischer			
	5,010,571	04/91	Katznelson			
	5,023,907	06/91	Johnson et al.			
	5,047,928	09/91	Wiedemer			
	5,048,085	09/91	Abraham et al.			
	5,050,213	09/91	Shear			
	5,091,966	02/92	Bloomberg et al.			

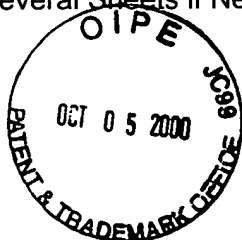
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Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,103,392	04/92	Mori			
	5,103,476	04/92	Waite et al.			
	5,111,390	05/92	Ketcham			
	5,119,493	06/92	Janis et al.			
	5,128,525	07/92	Stearns et al.			
	5,136,643	08/92	Fischer			
	5,136,646	08/92	Haber et al.			
	5,136,647	08/92	Haber et al.			
	5,136,716	08/92	Harvey et al.			
	5,146,575	09/92	Nolan			
	5,148,481	09/92	Abraham et al.			
	5,155,680	10/92	Wiedemer			
	5,163,091	11/92	Graziano			
	5,168,147	12/92	Bloomberg			
	5,185,717	02/93	Mori			
	5,187,787	02/93	Skeen et al.			
	5,201,046	04/93	Goldberg et al.			
	5,201,047	04/93	Maki et al.			
	5,208,748	05/93	Flores et al.			
	5,214,702	05/93	Fischer			

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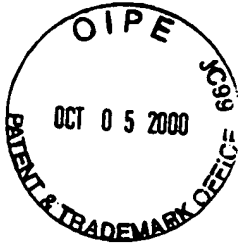
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,216,603	06/93	Flores et al.			
	5,221,833	06/93	Hecht			
	5,222,134	06/93	Waite et al.			
	5,224,160	06/93	Paulini et al.			
	5,224,163	06/93	Gasser et al.			
	5,235,642	08/93	Wobber et al.			
	5,245,165	09/93	Zhang			
	5,247,575	09/93	Sprague et al.			
	5,257,369	10/93	Skeen et al.			
	5,260,999	11/93	Wyman			
	5,263,158	11/93	Janis			
	5,265,164	11/93	Matyas et al.			
	5,276,735	01/94	Boebert et al.			
	5,280,479	01/94	Mary			
	5,285,494	02/94	Sprecher et al.			
	5,301,231	04/94	Abraham et al.			
	5,311,591	05/94	Fischer			
	5,319,705	06/94	Halter et al.			
	5,319,785	06/94	Halter et al.			
	5,337,360	08/94	Fischer			

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	5,341,429	08/94	Stringer et al.			
	5,343,527	08/94	Moore et al.			
	5,347,579	09/94	Blandford			
	5,351,293	09/94	Michener et al.			
	5,355,474	11/94	Thuraisingham et al.			
	5,373,561	12/94	Haber et al.			
	5,390,247	02/95	Fischer			
	5,390,330	02/95	Talati			
	5,392,220	02/95	van den Hamer et al.			
	5,392,390	02/95	Crozier			
	5,394,469	02/95	Nagel et al.			
	5,410,598	04/95	Shear			
	5,412,717	05/95	Fischer			
	5,421,006	05/95	Jablon			
	5,422,953	06/95	Fischer			
	5,428,606	06/95	Moskowitz			
	5,438,508	08/95	Wyman			
	5,442,645	08/95	Ugon			
	5,444,779	08/95	Daniele			
	5,449,895	09/95	Hecht et al.			

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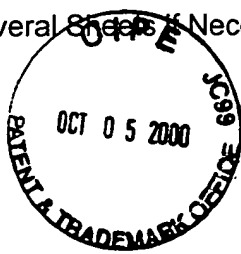
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,449,896	09/95	Hecht et al.			
	5,450,493	09/95	Maher			
	5,453,601	09/95	Rosen			
	5,453,605	09/95	Hecht et al.			
	5,455,407	10/95	Rosen			
	5,455,861	10/95	Faucher et al.			
	5,455,953	10/95	Russell			
	5,457,746	10/95	Dolphin			
	5,463,565	10/95	Cookson et al.			
	5,473,687	12/95	Lipscomb et al.			
	5,473,692	12/95	Davis			
	5,479,509	12/95	Ugon			
	5,485,622	01/96	Yamaki			
	5,491,800	02/96	Goldsmith et al.			
	5,497,479	03/96	Hornbuckle			
	5,497,491	03/96	Mitchell et al.			
	5,499,298	03/96	Narasimhalu et al.			
	5,504,757	04/96	Cook et al.			
	5,504,818	04/96	Okano			
	5,504,837	04/96	Griffeth et al.			

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	5,508,913	04/96	Yamamoto et al.			
	5,509,070	05/96	Schull			
	5,513,261	04/96	Maher			
	5,517,518	09/96	Rosen			
	5,530,235	06/96	Stefik et al.			
	5,530,752	06/96	Rubin			
	5,533,123	07/96	Force et al.			
	5,534,975	07/96	Stefik et al.			
	5,537,526	07/96	Anderson et al.			
	5,539,735	07/96	Moskowitz			
	5,539,828	07/96	Davis			
	5,550,971	08/96	Brunner et al.			
	5,553,282	09/96	Parrish et al.			
	5,557,518	09/96	Rosen			
	5,557,798	09/96	Skeen et al.			
	5,563,946	10/96	Cooper et al.			
	5,568,552	10/96	Davis			
	5,572,673	11/96	Shurts			
	5,592,549	01/97	Nagel et al.			
	5,606,609	02/97	Houser et al.			

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	5,613,004	03/97	Cooperman et al.			
	5,621,797	04/97	Rosen			
	5,629,980	05/97	Stefik et al.			
	5,633,932	05/97	Davis et al.			
	5,634,012	05/97	Stefik et al.			
	5,636,276	06/97	Brugger et al.			
	5,636,292	06/97	Rhoads			
	5,638,443	06/97	Stefik			
	5,638,504	06/97	Scott et al.			
	5,640,546	06/97	Gopinath et al.			
	5,655,077	08/97	Jones et al.			
	5,687,236	11/97	Moskowitz et al.			
	5,689,587	11/97	Bender et al.			
	5,692,180	11/97	Lee			
	5,710,834	01/98	Rhoads			
	5,717,923	02/98	Dedrick			
	5,724,425	03/98	Chang			
	5,740,549	04/98	Reilly et al.			
	5,745,604	04/98	Rhoads			
	5,745,569	04/98	Moskowitz et al.			

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	5,748,763	05/98	Rhoads			
	5,748,783	05/98	Rhoads			
	5,748,960	05/98	Fischer			
	5,754,849	05/98	Dyer et al.			
	5,757,914	05/98	McManis			
	5,758,152	05/98	LeTourneau			
	5,765,152	01/98	Erickson			
	5,768,426	06/98	Rhoads			
	5,896,454	04/99	Cookson et al.			

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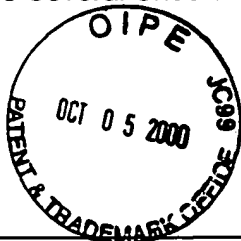
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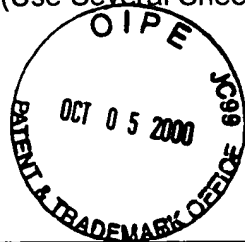
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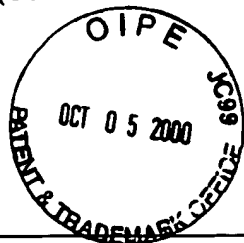
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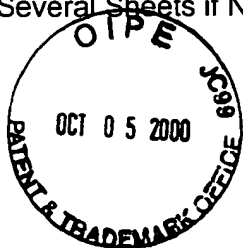
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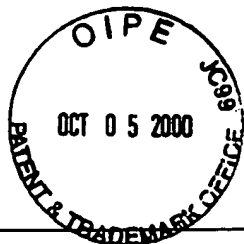
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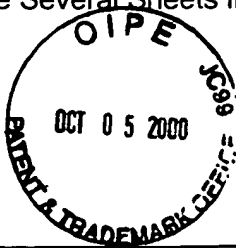
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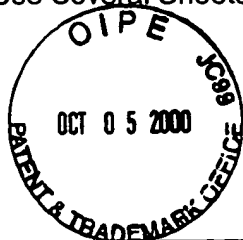
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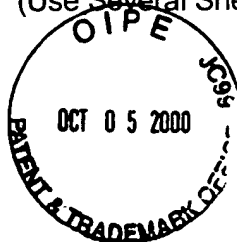
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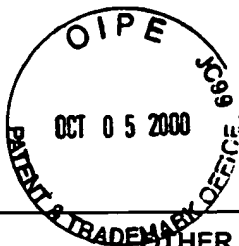
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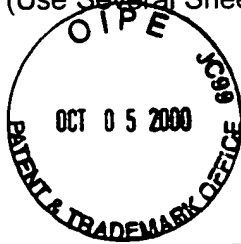
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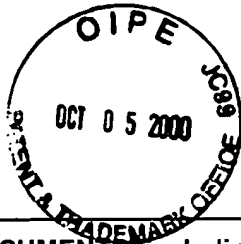
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